

DOCKET NO: THOM-0022

Rec'd PCT/PTO 11 JUL 2002  
PATENT #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Murray Edward Bruce LEIGHTON

U.S. Serial No.: 10/088,042

Group Art Unit: not yet known

International Application No.: PCT/GB01/02968

Examiner: not yet assigned

International Filing Date: 05 July 2001

For: METHODS OF AND APPARATUS FOR SEALING ZIPPER TO A SUBSTRATE

COMMUNICATION

Assistant Commissioner for Patents  
Washington, DC 20231

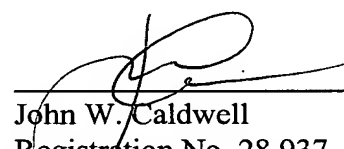
Dear Sir:

The above-identified application was filed without an executed Declaration/Power of Attorney. Although no "Notification of Missing Requirements" has been received, applicant herewith submits the executed copy.

An Assignment and Preliminary Amendment are also submitted.

Respectfully submitted,

Date: July 11 2002

  
John W. Caldwell  
Registration No. 28,937

Woodcock Washburn LLP  
One Liberty Place - 46<sup>th</sup> Floor  
Philadelphia, PA 19103  
Telephone (215) 568-3100  
Facsimile: (215) 568-3439

530 11 JUL 2002

FORM PTO-1390\*

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER THOM-0022

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)  
10/088,042

INTERNATIONAL APPLICATION NO.  
PCT/GB01/02968

INTERNATIONAL FILING DATE 05/07/01  
05 JULY 2001

PRIORITY DATE CLAIMED 15/07/00  
15 JULY 2000

TITLE OF INVENTION METHODS OF AND APPARATUS FOR SEALING ZIPPER TO A SUBSTRATE

APPLICANT(S) FOR DO/EO/US Murray Edward Bruce LEIGHTON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) ) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Communication
  - return postcard.

EXPRESS MAIL Mailing Label No. EL 909207695 US  
Date of Deposit: 11 July 2002

EL 909207695US

U.S. APPLICATION NO. (if known 37 C.F.R. 1.5)  
10/088,042

INTERNATIONAL APPLICATION NO.  
PCT/GB01//02968

ATTORNEY DOCKET NUMBER  
THOM-0022

17. ☒ The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,040.00

International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report has been prepared by the EPO or JPO.....\$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than \_ 20 \_ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate
Total claims	10-20 =		X \$18.00
Independent Claims	2- 3 =		x \$84.00
Multiple dependent claims(s) (if applicable)			+ \$280.00

\$

\$

\$

TOTAL OF ABOVE CALCULATIONS =

\$130.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

SUBTOTAL =

\$130.00

Processing fee of \$130.00 for furnishing the English translation later than \_ 20 \_ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

TOTAL NATIONAL FEE =

\$130.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$ 40.00

TOTAL FEES ENCLOSED =

\$170.00

07/18/2002 SNAJARRO 00000091 10088042

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130.00 0P

Amount to be:  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 170.00 to cover the above fee is enclosed.

b. ☐ Please charge my Deposit Account No. 23-3050 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

CALDWELL, John W.  
Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
(215) 568-3100

SIGNATURE

John W. Caldwell  
NAME

28,937  
REGISTRATION NUMBER